

Annex B

Hong Kong’s national security entities and background on key appointed personnel

1. The Committee for Safeguarding National Security (CSNS)
2. The Office for Safeguarding National Security (OSNS)
3. The Department for Safeguarding National Security of the Hong Kong Police Force (DSNS)
4. The Specialised National Security Crimes Prosecution Division of the Department of Justice (SPD)

1. The Committee for Safeguarding National Security (CSNS) (NSL, Arts. 12-15, 43)

Committee

Chairperson



[Carrie Lam Cheng Yuet-ngor](#),

Chief Executive, Hong Kong SAR
(sanctioned by the U.S.)

Lam is the fourth and current Chief Executive of the Hong Kong SAR (since 2017). Previously, she served as the Secretary for Development (2007-2012) and Chief Secretary for Administration (2012- 2017). After graduating from the University of Hong Kong, Lam joined the British Hong Kong civil service in 1980 and served in various government agencies.

Lam is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088..>

Other Committee members



Matthew Cheung Kin-chung

Chief Secretary for Administration

Cheung has been Chief Secretary for Administration since January 2017. He joined the Hong Kong SAR government as an Information Officer in July 1972, and served as Commissioner for Labor (1999-2000), Director of Education (2000-2002), Permanent Secretary for Economic Development and Labor (2002- 2007), and Secretary for Labor and Welfare (2007-2017).



Paul Chan Mo-po

Financial Secretary

Paul Chan was appointed Financial Secretary in January 2017, after serving as Secretary for Development (2012-2017). He is a former President of the Hong Kong Institute of Certified Public Accountants.



Teresa Cheng Yeuk-wah

Secretary for Justice
(sanctioned by the U.S.)

Cheng was appointed Secretary for Justice on January 6, 2018. She was a Senior Counsel in private practice before joining the Hong Kong SAR government. She is also a chartered engineer and chartered arbitrator. She is a Fellow of King's College in London and was the Course Director of the International Arbitration and Dispute Settlement Course at the Law School of Tsinghua University in Beijing.

Cheng is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>



[John Lee Ka-chiu](#)
Secretary for Security
(sanctioned by the U.S.)

Lee joined the Hong Kong Police Force in 1977 as a probationary inspector and was promoted to the post of Deputy Commissioner in 2010. He became Under Secretary for Security in 2012 and Secretary for Security in 2017.

Lee is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>.



[Chris Tang Ping-keung](#)
Commissioner of Police
(sanctioned by the U.S.)

Chris Tang was appointed Commissioner of Police in November 2019. He joined the Hong Kong Police Force in 1987 as an Inspector of Police. He served as Senior Assistant Commissioner and Director of Operations (2017-2018), Deputy Commissioner of Police (Operations) (2018-2019).

Tang is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>.



[Edwina Lau Chi-wai](#)
Deputy Commissioner of
Police (National Security) &
Head of the Department for
Safeguarding National
Security of the HKPF
(sanctioned by the U.S.)

Lau joined the Hong Kong Police Force in 1984. She served as Senior Assistant Commissioner of Police and Director of Management Services (2019-2020). She was appointed Deputy Commissioner of Police (National Security) to head the department for safeguarding national security of the Hong Kong Police Force in July 2020.

Lau is among four Hong Kong SAR and PRC officials sanctioned by the U.S. “in connection with implementing PRC-imposed National Security Law and threatening the peace, security, and autonomy of Hong Kong.” The four officials “will be barred from travelling to the United States and their assets within the jurisdiction of the United States or in the possession or control of U.S. persons will be blocked.” See “Designations of Four PRC and Hong Kong Officials Threatening the Peace, Security, and Autonomy of Hong Kong,” U.S. Department of State, November 9, 2020, <https://www.state.gov/designations-of-four-prc-and-hong->

[kong-officials-threatening-the-peace-security-and-autonomy-of-hong-kong/](#), and “Syria Designations; Syria-related Designations; Hong Kong-related Designations,” U.S. Department of Treasury, November 9, 2020, <https://home.treasury.gov/policy-issues/financial-sanctions/recent-actions/20201109>.



[Au Ka-wang](#)
Director of Immigration

Au was appointed Director of Immigration on July 2, 2020. He joined the Immigration Department as an Assistant Immigration Officer in 1988. He served as Immigration Officer (1992-2003), Senior Immigration Officer (2003-2009), Chief Immigration Officer (2009-2014), Assistant Principal Immigration Officer (2014-2015), and Principal Immigration Officer (2015-2018), Assistant Director of Immigration (2018-2019), and Deputy Director of Immigration in (2019-2020).



[Hermes Tang Yi-hoi](#)
Commissioner of Customs and Excise

Hermes Tang was appointed Commissioner of Customs and Excise in July 2017. He joined the Immigration Department as an Immigration Assistant in 1985 and the Customs and Excise Department as a Probationary Inspector in 1987. After secondment to the Security Bureau from (2006-2007), he served as Superintendent (2009-2011), Senior Superintendent (2011-2013), Chief Superintendent (2013-2015), Assistant Commissioner of Customs and Excise (2015-2016), and Deputy Commissioner (2016-2020).



[Eric Chan Kwok-ki](#)
Director of the Chief Executive's Office
(sanctioned by the U.S.)

Eric Chan joined the Immigration Department in 1982 as an assistant immigration officer, where he served as Deputy Director (2010-2011) and Director (2011-2017). In May 2017, he became the first senior official from the disciplined services to be named as Director of the Chief Executive's Office, a post usually filled by civil service administrative officers.

Eric Chan is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury,

August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>.

Secretariat

Secretary-General



[Eric Chan Kwok-ki](#)
(sanctioned by the U.S.)

See above: Other Committee Members.

National Security Adviser



[Luo Huining](#)

(骆惠宁)

Director of the [Liaison Office of the Central People's Government in the Hong Kong S.A.R.](#)

(LOCPG)

(sanctioned by the U.S.)

Luo, a senior member of the Chinese Communist Party, was appointed Director of the Liaison Office of the Central People's Government in Hong Kong (LOCPG) in January 2020. Luo is the only liaison office director with local administration experience at the highest level and is the first liaison office director with no work experience related to Hong Kong. A native of Zhejiang, he was previously the Governor, then Party Secretary of Qinghai Province before being appointed Party Secretary of Shanxi Province.

Luo is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>

2. The Office for Safeguarding National Security (OSNS) (NSL, Arts. 48-60)



[Zheng Yanxiong](#)

(郑雁雄)

Director

(sanctioned by the U.S.)

Zheng is a member of the Standing Committee of the Guangdong Provincial Committee of the Communist Party of China. He became well-known as Party chief in Shanwei, Guangdong, for the crackdown on the 2011 protests by villagers in Wukan seeking compensation for land requisitioned by the government. He was appointed Executive Deputy Secretary-General and Director of the Policy Office of the Guangdong Provincial Committee of the CPC (May-October 2018) and then Secretary-General in October 2018.

Zheng is among 11 individuals sanctioned by the U.S. for “undermining Hong Kong’s autonomy and restricting the freedom of expression or assembly of the citizens of Hong Kong.” See “Treasury Sanctions Individuals for Undermining Hong Kong’s Autonomy,” U.S. Department of Treasury, August 7, 2020, <https://home.treasury.gov/news/press-releases/sm1088>.



[Li Jiangzhou](#)

(李江舟)

Deputy

(sanctioned by the U.S.)

Li was head of the domestic security bureau of the Ministry of Public Security, before starting work at the Liaison Office in Hong Kong in 2016.

Li is among four Hong Kong SAR and PRC officials sanctioned by the U.S. “in connection with implementing PRC-imposed National Security Law and threatening the peace, security, and autonomy of Hong Kong.” The four officials “will be barred from travelling to the United States and their assets within the jurisdiction of the United States or in the possession or control of U.S. persons will be blocked.” See “Designations of Four PRC and Hong Kong Officials Threatening the Peace, Security, and Autonomy of Hong Kong,” U.S. Department of State, November 9, 2020, <https://www.state.gov/designations-of-four-prc-and-hong-kong-officials-threatening-the-peace-security-and-autonomy-of-hong-kong/>, and “Syria Designations; Syria-related Designations; Hong Kong-related Designations,” U.S. Department of Treasury, November 9, 2020, <https://home.treasury.gov/policy-issues/financial-sanctions/recent-actions/20201109>.



Sun Qingye
(孙青野)
Deputy

Sun is a senior official at the Ministry of State Security.

3. The Department for Safeguarding National Security of the Hong Kong Police Force (DSNS) (NSL, Arts. 16, 18)



Head of DSNS:
[Edwina Lau Chi-wai](#)
Deputy Commissioner of
Police (National Security)
(sanctioned by the U.S.)

See Section 1 above: The Committee for Safeguarding National Security.

4. The Specialised National Security Crimes Prosecution Division of the Department of Justice (SPD) (NSL, Art. 18)

According to Secretary for Justice Teresa Cheng, the Department of Justice of the HKSAR has established a specialised prosecution division pursuant to the *National Security Law*. (See Teresa Cheng, “National Security Law – A New Horizon for the Successful Implementation of ‘One Country, Two Systems’,” *China Law Magazine 2020 No. 4*, available at: https://www.doj.gov.hk/eng/public/sj/20200824_sj1.html.)

Head of SPD: As of October 15, 2020, there is no publicly available information on the appointment.